

Governance and Audit Committee Work Programme 2021-22

1. Current Work Programme 2021/22:

| Area | Tuesday 20.07.21 (4:30pm) | Tuesday 28.09.21 (2pm) | TBC Special Committee Meeting Tuesday 19.10.21 (2pm) | Tuesday 23.11.21 (2pm) | Tuesday 25.01.22 (4:30pm) | Tuesday 15.03.22 (4:30pm) | Tuesday 19.07.22 (2pm) TBC |
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| Audit Wales (AW) | | | ISA 260 and Audited Statement of Accounts 2020/21 for Cardiff Council including; Cardiff Harbour Authority & Trust Funds | National & Local Financial Sustainability Reports | Annual Audit Summary Report | Annual Audit Plan 2022 | |
| | | | ISA 260 and Audited Statement of Accounts 2020/21 for Cardiff & Vale of Glamorgan Pension Fund | | | Cardiff & Vale Pension Fund Audit Plan 2022 | |
| | AW Work Programme and Timetable Update | | | AW Work Programme and Timetable Update | AW Work Programme and Timetable Update | AW Work Programme and Timetable Update | AW Work Programme and Timetable Update |
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| Performance | Member Breach of Code of Conduct Complaints | Planning and Performance Framework – Update and Overview on the Role of Governance and Audit Committee | | | Mid-Year Self-Assessment Report Update | | Draft Year-End Self-Assessment Report 2021/22 |
| | Council Complaints and Compliments – Policy and Annual Report 2020/21 | | | Council Complaints & Compliments – Mid Year Report | | | Council Complaints and Compliments – Policy and Annual Report 2021/22 |
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| Treasury Management | | Treasury Management Annual Report | | Half Year Report | Draft Treasury Management Strategy 2022/23 | Treasury Management Practices | |
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| Finance | Financial Update including Resilience Issues | Financial Update including Resilience Issues | | Financial Update including Resilience Issues | Financial Update including Resilience Issues | Financial Update including Resilience Issues | Financial Update including Resilience Issues |
| | Draft Statement of Accounts 2020/21 | | | | | Statement of Accounts 2020/21: Accounting Policies and Timescales | Draft Statement of Accounts 2021/22 |
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| Internal Audit | Audit and Investigation Team - Progress Update | | | Audit and Investigation Team - Progress Update | Audit and Investigation Team - Progress Update & Summary Audit Plan 2022/23 | Audit and Investigation Team - Progress Update | Audit and Investigation Team - Progress Update |
| | Internal Audit Annual Report 2020/21 | Counter-Fraud Annual Report 2020/21 | | | | Audit Charter and Audit Plan 2022/23 | Internal Audit Annual Report 2021/22 |
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| Governance and Risk Management | Audit Committee Annual Report 2020/21 | | | | | | Governance and Audit Committee Annual Report 2021/22 |
| | Draft Annual Governance Statement 2020/21 | | | | Senior Management Assurance Statement and AGS Action Plan 2021/22 (Mid-Year) | | Draft Annual Governance Statement 2021/22 |
| | Corporate Risk Management Year-End | | | Corporate Risk Management 2021/22 (Mid-Year) | | Corporate Risk Management (Q3) | Corporate Risk Management (Year-End) |
| | Recommendation Tracker – Reports of External Review Bodies | | | | Recommendation Tracker – Reports of External Review Bodies | | |
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| Senior Officer Updates (Operational Matters / Key Risks / Other) | | Davina Fiore – Update on Governance and Legal Services Directorate Control Environment | | TBC – Andrew Gregory – Update on Planning Transport and Environment Directorate Control Environment | TBC – Chris Lee – Update on Resources Directorate Control Environment | TBC – Directorate Control Environment | TBC - Chief Executive – Update on the Council's Control Environment |

2. Matters to be addressed outside of formal Committee meetings during 2021/22:

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| Audit Committee <ul style="list-style-type: none">Annual self-assessment workshop (25.01.22)Training and development sessions. | General <ul style="list-style-type: none">Correspondence, publications and reports for information purposesTime sensitive consultation. | Director / Senior Officer Assurance <ul style="list-style-type: none">Use of letters, correspondence, and video / telephone meetings as necessary. |
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